

1JC003 1.5. P10
01/04/01

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A black arrow pointing to the right, indicating a continuation or next step.

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

¹⁷ See *International Criminal Court, The Hague, The Netherlands*, *www.icrc.org*.

Interim Dataset X	1872-2300 m
Last Inventory	Last Inventory
File	<i>High-Tensile Metal Composites Using Fractional Spraying Technique and Directed Self-Assembly-Damascene Interconnect Process</i>
Express Mail Lane X	

APPLICATION ELEMENTS

See APPENDIX 1 for a list of the 100 most common words in the English language.

ADDRESS TO:

Assistant Commissioner for Patients
B & P of A Application
Washington, D.C. 20590

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO-SB-17) <i>(Submit an original and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>Appendix</i>
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission <i>if applicable, all necessary</i>
3. <input checked="" type="checkbox"/> Specification <i>preferred arrangement set forth below</i>	a. <input type="checkbox"/> Computer Readable Form (CRF)
	b. Specification Sequence Listing on
	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies), or
	ii. <input type="checkbox"/> paper
	c. <input type="checkbox"/> Statements verifying identity of above copies
ACCOMPANYING APPLICATION PARTS	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <i>(Total Sheets</i> <u>23</u> <i>)</i>	9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
5. Oath or Declaration <i>(Total Pages</i> <u>2</u> <i>)</i>	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>when there is an assignee</i> <input type="checkbox"/> Power of Attorney
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	11. <input type="checkbox"/> English Translation Document <i>if applicable</i>
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i>	12. <input type="checkbox"/> Information Disclosure Statement (IDS) PTO-1449 <input type="checkbox"/> Copies of IDS Citations
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).	13. <input type="checkbox"/> Preliminary Amendment
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	14. <input checked="" type="checkbox"/> Two Return Receipt Postcards (MPEP 503) <i>Should be specifically itemized</i>
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>if foreign priority is claimed</i>
	16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO-SB-35 or its equivalent
	17. <input checked="" type="checkbox"/> Other <i>Authorization under 37 CFR. § 1.136(a)(3)</i>

JC675 U.S. PRO
09/753664

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 CFR 3.73(b) Statement Power of Attorney
when there is an assignee

11. English Translation Document *if applicable*

12. Information Disclosure Statement (IDS) PTO-1449 Copies of IDS
Citations

13. Preliminary Amendment

14. Two Return Receipt Postcards (MPEP 503)
Should be specifically itemized

15. Certified Copy of Priority Document(s)
if foreign priority is claimed

16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(ii). Applicant must attach form PTO SB 35 or its equivalent

17. Other Authorization under 37 C.F.R. § 1.136(a)(3)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

Continuation Divisional Continuation-in-Part (CIP) of prior application No. _____
Prior application information *Examiner* *Group, Art Unit*

For CONTINUATION OR DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label



or Correspondence address below

NAME	Stern, Kisser, Goldstein & Fox PLLC 26111					
	Attorneys at Law		PATENT TRADEMARK OFFICE			
ADDRESS	Suite 100 New York Avenue NW					
CITY	Washington	STATE	DC	ZIP CODE	20004-3034	
COUNTRY	USA	TELEPHONE	(202) 371-2541	FAX	(202) 371-2544	

NAME - Print	Donald L. Featherstone	NAME - Signature	SSN
SPK-17-101	Donald L. Featherstone	14101	14101

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 750.00)

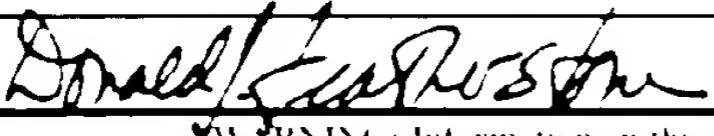
Complete if Known

Application Number	To be assigned
Filing Date	Herewith on 1/4/01
First Named Inventor	Liming Tsau
Examiner Name	To be assigned
Group Art Unit	To be assigned
Attorney Docket No.	1875.0230000

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)						
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:		3. ADDITIONAL FEES Large Entity Small Entity						
Deposit Account Number 19-0036 Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.C.		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee paid
<input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR § 1.16 and 1.17		105	130	205	65	Surcharge - late filing fee or oath		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		117	5	127	125	Surcharge - filing fee or oath if not elected		
		139	135	139	130	Non-English specification		
		147	2,520	147	2,520	For filing a request for ex parte reexamination		
		112	910*	112	910*	Requesting publication of SIR prior to Examiner action		
		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
2. <input checked="" type="checkbox"/> Payment Enclosed <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other* *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036		115	110	215	55	Extension for reply within first month		
		116	290	216	195	Extension for reply within second month		
		117	890	117	445	Extension for reply within third month		
		118	1,090	218	695	Extension for reply within fourth month		
		128	1,690	228	945	Extension for reply within fifth month		
		119	310	219	155	Notice of Appeal		
		120	310	220	155	Filing a brief in support of an appeal		
		121	270	221	135	Request for oral hearing		
		138	1,510	138	1,510	Petition to institute a public use proceeding		
		140	110	240	55	Petition to revive - unavoidable		
		141	1,240	241	620	Petition to revive - unintentional		
		142	1,240	242	620	Utility issue fee (or reissue)		
		143	440	243	220	Design issue fee		
		144	600	244	300	Plant issue fee		
		122	130	222	130	Petitions to the Commissioner		
		123	130	223	130	Petitions related to provisional applications		
		126	180	226	180	Submission of Information Disclosure Stmt		
Total Claims 18 - 20** = 0 X = Indep. Claims 3 - 3** = 0 X = Multiple Dependent		581	40	481	40	Recording each patent assignment per property (times number of properties)		40.00
		146	710	146	355	Filing a submission after final rejection 37 CFR 1.129 a.		
		149	710	149	355	For each additional invention to be examined 37 CFR 1.129 b.		
		179	710	279	355	Request for Continued Examination (RCE)		
		169	900	169	900	Request for expedited examination of a design application		
Large Entity Small Entity Fee Code (\$) 103 18 213 9 Fee Description 104 80 212 40 Claims in excess of 20 104 210 214 135 Independent claims in excess of 10 114 80 110 45 Multiple dependent claim 114 80 110 45 **Resue independent claim in excess of 10 114 80 210 9 **Resue claims in excess of 10 and other more patent		Other fee - specify						
SUBTOTAL (2) \$ 55.00		Other fee - specify						
		*Reduced by Basic Filing Fee Paid						
		SUBTOTAL (3) \$ 40.00						
**For claims previously paid or greater. For Resues, see above.								

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Donald J. Featherstone	Registration No. 2,711,727	Telephone	202-371-2600
Signature				Date 1/4/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

I declare under penalty of perjury that the above information is true and correct to the best of my knowledge and belief. I understand that any false statement, misrepresentation, or forged signature on this form is a violation of 18 U.S.C. § 1001 and may result in criminal penalties.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC675 U.S. PRO
09/753664
01/04/01

In re application of:

Liming Tsau

Appl. No. To be assigned

Filed: Herewith on January 4, 2001

For: **High Density Metal Capacitor
Using Via Etch Stopping Layer as
Field Dielectric in Dual-
Damascene Interconnect Process**

Art Unit: To be assigned

Examiner: To be assigned

Atty. Docket: 1875.0230000

**Authorization to Treat a Reply as Incorporating an Extension of Time
under 37 C.F.R. § 1.136(a)(3)**

Commissioner for Patents
Washington, D.C. 20231

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Donald J. Featherstone
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